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1 Attorney Docket No. 78041

2
3 METHOD AND SYSTEM FOR TRANSIENT SIGNAL DETECTION

4
5 STATEMENT OF GOVERNMENT INTEREST

6 The invention described herein may be manufactured and used
7 by or for the Government of the United States of America for
8 governmental purposes without the payment of any royalties
9 thereon or therefore.

10
11 CROSS-REFERENCE TO RELATED PATENT APPLICATION

12 This patent application is co-pending with a related patent
13 application entitled SYSTEM AND METHOD FOR CHAOTIC SIGNAL
14 IDENTIFICATION, Ser. No. 08/682,896 (Navy Case No. 74951) having
15 a filing date of 28 June 1996.

16
17 BACKGROUND OF THE INVENTION

18 (1) Field of the Invention

19 The present invention relates generally to signal detection,
20 and more particularly to a method and system for detecting
21 transient signals so as to characterize the structure of acoustic
22 signals and to provide rapid detection and classification of
23 transient signals.

1 (2) Description of the Prior Art

2 Characterizing the structure of acoustic signals is critical
3 to data integration and evaluation in generating an overall
4 picture of a tactical situation. For example, the acoustic
5 signature of a threat, such as the launch of a torpedo against a
6 friendly vessel, must be quickly distinguished from background
7 noise in order for the vessel to take evasive maneuvers. In
8 littoral environments, where background noise and acoustic signal
9 reverberation are likely to be increased, more robust techniques
10 for information processing are required. In addition to
11 identifying threats, it is necessary to minimize self noise so as
12 to prevent early detection by hostile forces. As defense
13 resources become scarcer, noise quieting efforts must be
14 concentrated in areas having the largest quieting payoffs. As
15 with identifying threats, self noise signals need to be carefully
16 analyzed to identify those portions of the signals which provide
17 the greatest risk of detection. Quieting efforts can then be
18 concentrated on those systems generating detectable signals.

19 The prior art contains a number of methods and systems which
20 have been used to separate acoustic signals from background
21 noise. U.S. Patent No. 4,894,808 to Pedley et al. provides a
22 method for separating flow noise from an acoustic signal. The
23 level of signal noise caused by fluid flow at a pressure
24 transducer is determined by measuring the electric field, which

1 is proportional to flow rate, induced when fluid flows through a
2 magnetic field. The pressure due to flow is derived by a digital
3 filter which may be adaptive or which may employ a predetermined
4 electric field/flow noise relation. The flow noise is then
5 subtracted from the received signal, the resultant signal then
6 being substantially flow noise free. While reducing flow noise
7 from the received signal, the method does not separate other
8 background noise from the signal. Such other background noise
9 can still prevent the acoustic signal of interest from being
10 detected. U.S. Patent No. 4,144,768 to Andersson et al. provides
11 a system for analyzing a complex acoustic field by resolving the
12 acoustic field into component unit spatial pressure patterns or
13 modes. The system utilizes an acoustic filter having one or more
14 annular cavities surrounding a duct through which the acoustic
15 energy or signal propagates. The filter cavities are dimensioned
16 so as to resonate when the circumferential difference between
17 adjacent nodes of a modal component of the pressure pattern is
18 mathematically related to the velocity of sound within the cavity
19 by a predetermined factor. Gas pressure within the cavities can
20 be varied to control resonance of the cavities, thus allowing
21 measurements of modal amplitude distribution or of transient
22 phenomena of the acoustic energy propagating through the duct.
23 While the system may be helpful in characterizing self noise by
24 measuring flow through a duct, the system does not provide a

1 method for separating background noise from an acoustic signal
2 received at a transducer and analyzing the acoustic signal.

3
4 SUMMARY OF THE INVENTION

5 Accordingly, it is an object of the present invention to
6 provide a method and system for analyzing an acoustic signal
7 which can be used in separating background noise from the signal
8 of interest.

9 Another object of the present invention is to provide a
10 method and system for analyzing an acoustic signal received at a
11 transducer in a littoral environment.

12 Still another object of the present invention is to provide
13 a method and system for analyzing an acoustic signal to provide
14 detectable and classifiable features of self noise.

15 A still further object of the present invention is to
16 provide a method and system for analyzing an acoustic signal
17 through which designs for quieter vessels can be evaluated.

18 Other objects and advantages of the present invention will
19 become more obvious hereinafter in the specification and
20 drawings.

21 In accordance with the present invention, a method and
22 system for identifying transient signal features within an
23 acoustic signal is provided. First, a power spectral density
24 (PSD) plot of the signal is obtained and analyzed to determine if

1 it exhibits broadband characteristics. As is known in the art, a
2 PSD is said to be broadband if it exhibits the following
3 features: (1) the power distribution of the signal is spread
4 over a wide range of bandwidth; (2) the appearance of spectral
5 components, i.e., frequency lines in the spectrum, is random, or
6 noise-like; and (3) there are no unique characteristics about the
7 signal which can be determined or revealed from the spectrum. If
8 the PSD exhibits broadband characteristics, then the auto-
9 correlation function is examined to determine if transient
10 behavior exists. If the auto-correlation function exhibits signs
11 of transient behavior, then the state portrait is examined to
12 determine the transformation to noise-like behavior. Processing
13 of an acoustic signal and the quieting of self noise generating
14 equipment can then be concentrated on the portion of the signal
15 prior to the transformation to noise-like behavior.

16 17 BRIEF DESCRIPTION OF THE DRAWINGS

18 A more complete understanding of the invention and many of
19 the attendant advantages thereto will be readily appreciated as
20 the same becomes better understood by reference to the following
21 detailed description when considered in conjunction with the
22 accompanying drawings wherein corresponding reference characters
23 indicate corresponding parts throughout the several views of the
24 drawings and wherein:

1 FIG. 1 is a block diagram illustrating the steps of the
2 method of the present invention;

3 FIG. 2 is a power spectral density plot of a representative
4 data set illustrating broadband characteristics;

5 FIG. 3 is a plot of the auto-correlation function for the
6 representative data set illustrating signs of transient behavior;

7 FIGS. 4A through 4D are state portraits for selected time
8 segments of the representative data set; and

9 FIG. 5 depicts a system for implementing the method of the
10 present invention.

11 12 DESCRIPTION OF THE PREFERRED EMBODIMENT

13 Referring now to FIG. 1, there is shown a block diagram of
14 the method of the present invention used in detecting transient
15 hydroacoustic signals. To identify transient signal features,
16 nontraditional signal processing techniques are applied using the
17 Nonlinear Dynamics Analysis System (NDAS). As described in
18 related patent application, Ser. No. 08/682,896, the NDAS
19 includes a plurality of processing modules each for receiving a
20 digital data stream from an input means, such as an acoustic
21 sensor, and for generating therefrom an output useful in
22 identifying one of a plurality of chaotic processes. The
23 processing section is responsive to processing selection
24 information to select one of the plurality of processing modules

1 to provide the output. The control module generates the input
2 data selection information and the processing selection
3 information in response to inputs provided by an operator.
4 Utilizing the NDAS processing modules, the method of the present
5 invention first receives the signal to be processed at step 10.
6 Step 12 calls the NDAS signal processing module to obtain the
7 power spectral density (PSD) of the signal. The PSD is examined
8 at step 14 to determine if broadband characteristics exist.
9 Broadband characteristics include the power distribution of the
10 signal being spread over a wide range of bandwidth, the random or
11 noise-like appearance of spectral components, i.e., frequency
12 lines in the spectrum and the signal having no unique
13 characteristics which can be determined or revealed from the
14 spectrum. Such broadband characteristics tend to indicate the
15 presence of transient behavior. Without such characteristics, no
16 transient behavior exists, and the method branches to step 16 to
17 exit. If broadband characteristics are present, step 18 calls
18 the NDAS auto-correlation module to perform an auto-correlation
19 operation. The auto-correlation operation yields an auto-
20 correlation function which provides a measure of the dependence
21 or independence of values of the signal data stream at different
22 points in time and thus indicates the time variation and degree
23 of randomness of the input signal data. As with the PSD at step
24 14, the auto-correlation function is examined at step 20 for

1 signs of transient behavior. Generally, known chaotic systems
2 exhibit large amplitude, slow fluctuations of the auto-
3 correlation function. The launch of weapons, countermeasures and
4 other devices from a submarine, for example, may be characterized
5 as a short duration transient event which converts a considerable
6 amount of potential energy into other forms of energy, including
7 acoustic energy. If the transient component can be considered to
8 be a significant component of the overall signal signature and
9 the transient component has a short time duration, then transient
10 behavior would appear to be present and the method continues to
11 step 22. If no transient behavior is exhibited, then step 20
12 branches to step 16 to exit. If transient behavior appears to be
13 present, the auto-correlation function is further examined at
14 step 22 to determine a preliminary time-delay parameter. The
15 preliminary time-delay parameter is computed in the standard
16 fashion by taking a time delay equal to one third of the first
17 zero crossing of the auto-correlation function. Having
18 established the likelihood of transient behavior from steps 14
19 and 20, this preliminary time-delay should produce a well-defined
20 state portrait which would indicate that the sensor signal
21 corresponds to a chaotic attractor. Step 24 calls the NDAS
22 strange attractor generator using the time-delay from step 22
23 over a time interval encompassing the first zero crossing. Step
24 26 evaluates the strange attractor provided by step 24 to

1 determine the possible existence of a strange attractor. The
2 criteria used to evaluate the state portrait includes: (1) the
3 existence of attraction points; (2) the density and rate of
4 trajectory evolution in the vicinity of attraction points; (3)
5 location of the center of gravity of the entire strange
6 attractor; and (4) the structure of the strange attractor. Step
7 26 further assigns and stores a ranking for the strange attractor
8 based on the results of the evaluation. No ranking is stored if
9 the evaluation indicates no strange attractor criteria exist.
10 Step 28 decrements the time-delay criteria. The decremental
11 value chosen would depend on the resolution desired. A typical
12 decremental value would be 0.01 of the value of the zero crossing
13 location. Step 30 checks then checks to see if the decremented
14 time-delay is less than a selected minimum value. Typical
15 minimum values would be in the range of 0.1 of the zero crossing
16 value. If it is not, then step 30 returns to step 24 to generate
17 a new strange attractor based on the decremented time-delay. If
18 the decremented time-delay is less than the selected minimum
19 value, then step 30 branches to step 32, which examines the
20 stored rankings. If no rankings exist, then no strange attractor
21 exists and step 32 branches to step 16 to exit. If at least one
22 ranking exists, step 32 chooses the time-delay corresponding to
23 the best ranking and branches to step 34 which calls the NDAS
24 phase portrait generator. Using the chosen time-delay, step 34

1 provides phase portraits for selected time intervals over the
2 total duration of the signal being analyzed. Depending on the
3 number of intervals chosen, the phase portraits will show the
4 transformation from periodic behavior to noise-like behavior.
5 Choosing the portion of the signal demonstrating periodic
6 behavior at step 36, the user thus concentrates further signal
7 processing on that portion of the signal where a greater
8 likelihood of separating an acoustic signal from the background
9 noise exists. When noise quieting is the goal, design efforts
10 can be concentrated on the processes associated with the
11 generation of portion of the signal chosen, i.e., those noise
12 generating processes which occur within the same time frame as
13 the periodic behavior.

14 Referring now to FIGS. 2 through 4, a sample data set is
15 provided to illustrate the use of the method. FIG. 2 shows the
16 results of step 12, a PSD plot of a representative data set. It
17 can be seen that FIG. 2 exhibits broadband characteristics in
18 that the PSD is distributed over a wide range of bandwidth (from
19 1 to 10,000Hz). Over that range, the energy is distributed
20 almost uniformly, e.g., the magnitude of the PSD over that
21 bandwidth is between 40dB and 50dB. Further, there is no major
22 or distinct frequency line that can be detected from the spectrum
23 and the appearance of the PSD resembles a white noise spectrum.
24 Thus step 18 is called to generate the auto-correlation function

1 shown in FIG. 3. It is noted that the auto-correlation function
2 of FIG. 3 fluctuates about zero, which, from the nonlinear
3 dynamics point of view, is a unique indication of transient
4 behavior. The method then proceeds to step 22 where the initial
5 or preliminary time-delay parameter is chosen as one-third of the
6 first zero crossing. The first zero crossing of FIG. 3 is seen
7 to be 0.2 second, thus the preliminary time-delay parameter is
8 $0.2/3$ or 0.0667 second. Upon decrementing the time-delay and
9 storing the rankings at steps 24 through 30, step 32 determines
10 the time-delay corresponding to the highest ranking to be 0.0567
11 second. FIGS. 4A-4D show the phase portraits generated by step
12 32 for 0.5 second intervals using the time-delay determined by
13 steps 24-30. It can be seen that FIG. 4A shows periodic and
14 quasi-periodic behavior with only small amplitude noise. FIG. 4B
15 shows the periodic behavior transforming to noise-like behavior,
16 with the remaining oscillations centered on three points. FIG.
17 4C still exhibits some periodicity, but mostly mean zero Gaussian
18 noise. FIG. 4D has zero mean Gaussian random noise. Thus, at
19 step 34, the first second of the signal duration should be chosen
20 to concentrate further signal processing or noise quieting design
21 efforts.

22 FIG. 5 shows a system to implement the method of the current
23 invention. Sensor 100 detects the acoustic signal of interest
24 which is communicated to computer 102 via cable 104. Sensor 100

1 may be any one of many well known sensors which would provide a
2 digital signal to computer 102. Sensor 100 may also be an analog
3 sensor in combination with and analog to digital converter.
4 Cable 104 may be electrical or optical depending on the sensor
5 equipment being used. Computer 102 may be any general use
6 computer capable of accepting and processing acoustic signal
7 inputs. For implementing the method of the current invention, it
8 is anticipated that computer 102 would call and run the NDAS
9 processing modules, although any system configuration capable of
10 calling and executing the NDAS modules may be utilized. Monitor
11 106 and keyboard 108 provide user interface with the NDAS and the
12 method steps of the current invention. Once the method of the
13 present invention has determined the significant portions of the
14 signal, computer 102 may also be used for further processing of
15 those portions and may direct the sensing characteristics of
16 sensor 100, concentrating on more detectable signals.
17 Additionally, computer 102 may be used for noise quieting design
18 analyses of the source of those significant portions of the
19 signal, leading to design changes in noise generating equipment.

20 The invention thus described provides a method and system
21 for identifying transient signal features within an acoustic
22 signal. After receiving a signal from a sensor, the signal is
23 analyzed using the NDAS processing modules. First a PSD plot is
24 generated and examined to determine if it exhibits broadband

1 characteristics. If it does, then the auto-correlation function
2 is generated and is examined for signs of transient behavior. If
3 such signs are exhibited, then the state portrait is examined to
4 determine the transformation to noise-like behavior. Processing
5 of an acoustic signal and the quieting of self noise generating
6 equipment can then be concentrated on the portion of the signal
7 prior to the transformation to noise-like behavior. Since signal
8 processing can concentrate on the portion of the signal occurring
9 prior to noise-like behavior, the method and system can better
10 separate background noise from the signal of interest, especially
11 in environments having extensive background noise and
12 reflections, such as littoral environments. By concentrating on
13 transient behavior, the method and system aid in detecting,
14 analyzing and classifying self noise, as well as aiding in noise
15 quieting design.

16 Although the present invention has been described relative
17 to a specific embodiment thereof, it is not so limited. For
18 example, the preliminary time-delay parameter can be determined
19 in a variety of ways, e.g., choosing one quarter of the zero
20 crossing value. The method and system need not be limited to the
21 use of the NDAS. Any method of obtaining the necessary
22 parameters may be used, including traditional mathematical
23 techniques. Further, the system need not be limited to acoustic
24 signals. Analysis of electromagnetic or other signals amenable

1 to the signal processing techniques described herein may also
2 profit by the use of the inventive method and system.

3 Thus, it will be understood that many additional changes in
4 the details, steps and arrangement of parts, which have been
5 herein described and illustrated in order to explain the nature
6 of the invention, may be made by those skilled in the art within
7 the principle and scope of the invention.

8

1 Attorney Docket No. 78041

2

3 METHOD AND SYSTEM FOR TRANSIENT SIGNAL DETECTION

4

5 ABSTRACT OF THE DISCLOSURE

6 A method and system for identifying transient signal
7 features within an acoustic signal first obtains and analyzes a
8 power spectral density (PSD) plot of the signal to determine if
9 it exhibits broadband characteristics. If broadband
10 characteristics are exhibited, then the auto-correlation function
11 is examined for signs of transient behavior. If the auto-
12 correlation function exhibits signs of transient behavior, then
13 the state portrait is examined to determine the transformation to
14 noise-like behavior. Processing of an acoustic signal and the
15 quieting of self noise generating equipment can then be
16 concentrated on the portion of the signal prior to the
17 transformation to noise-like behavior.

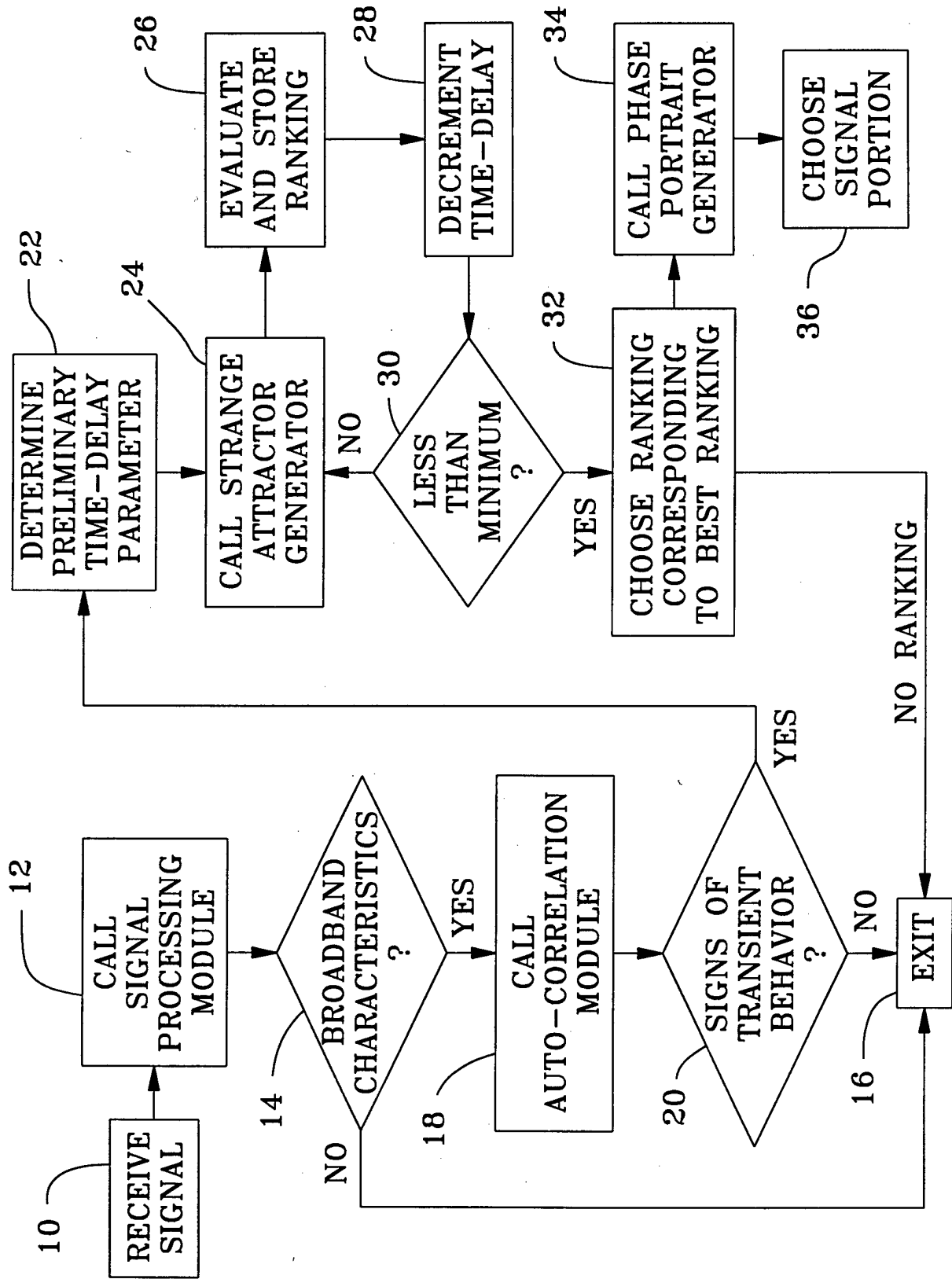


FIG. 1

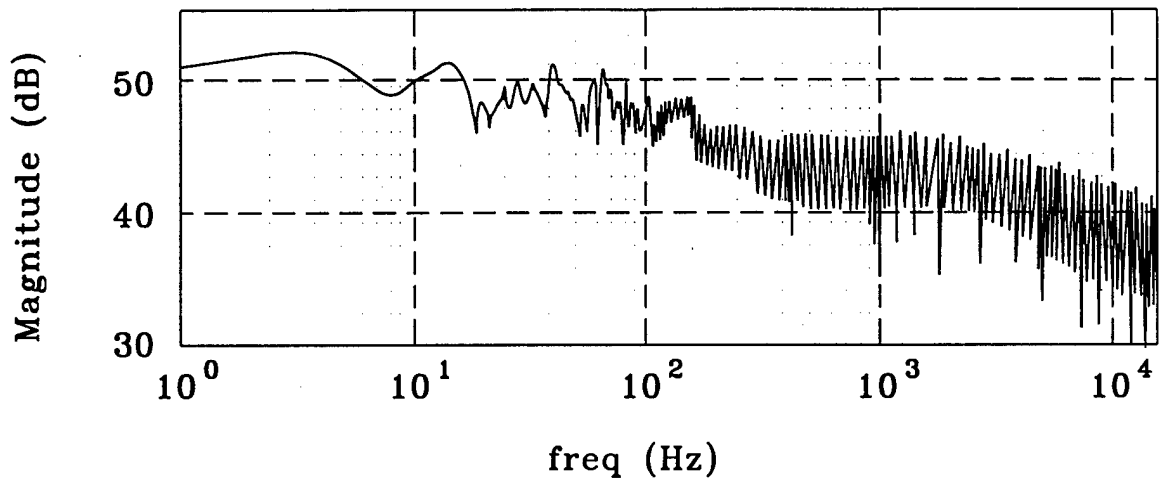


FIG. 2

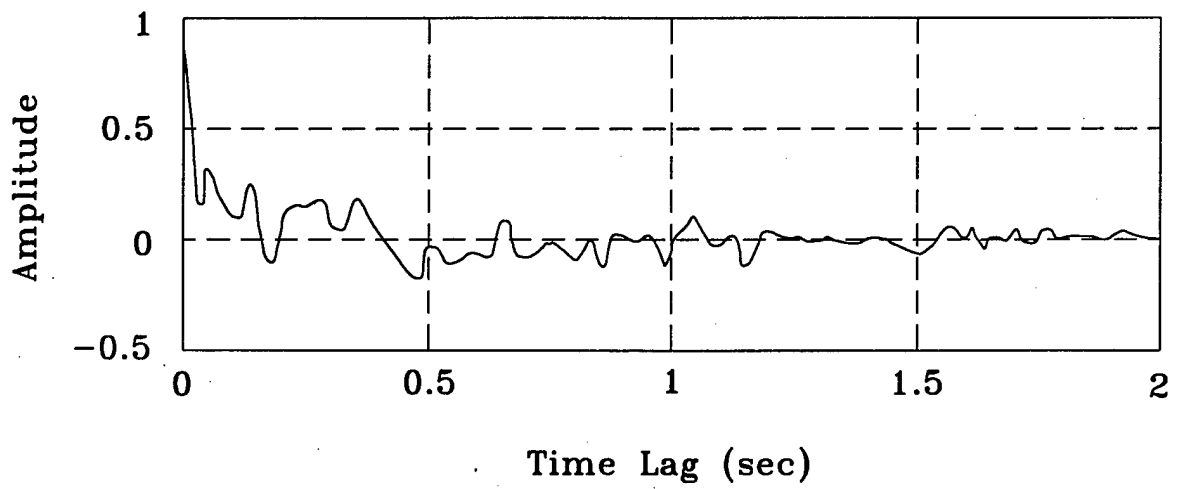


FIG. 3

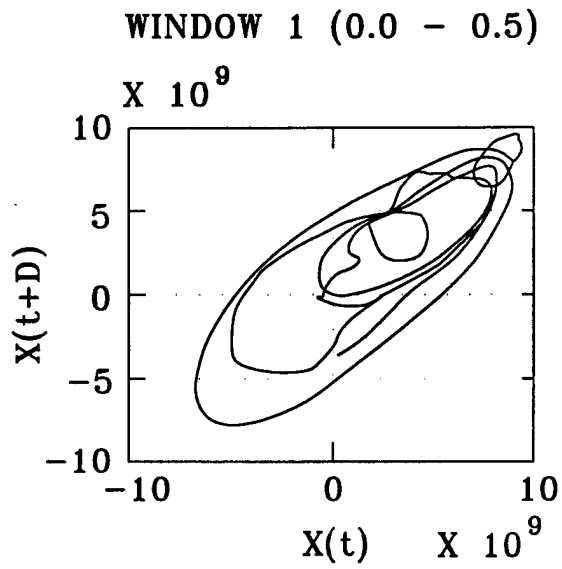


FIG. 4A

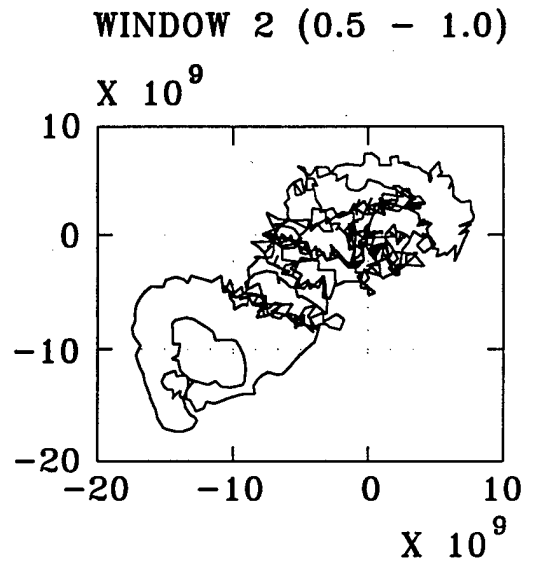


FIG. 4B

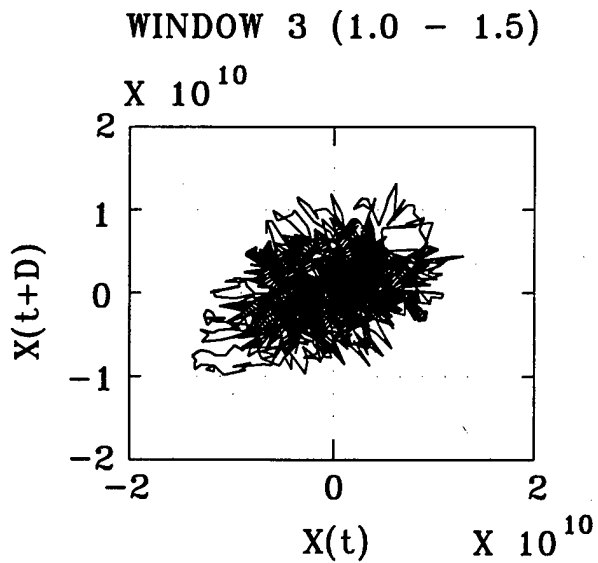


FIG. 4C

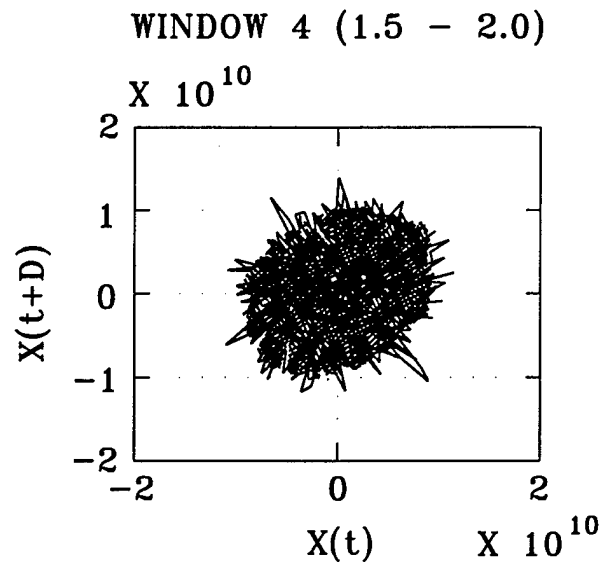


FIG. 4D

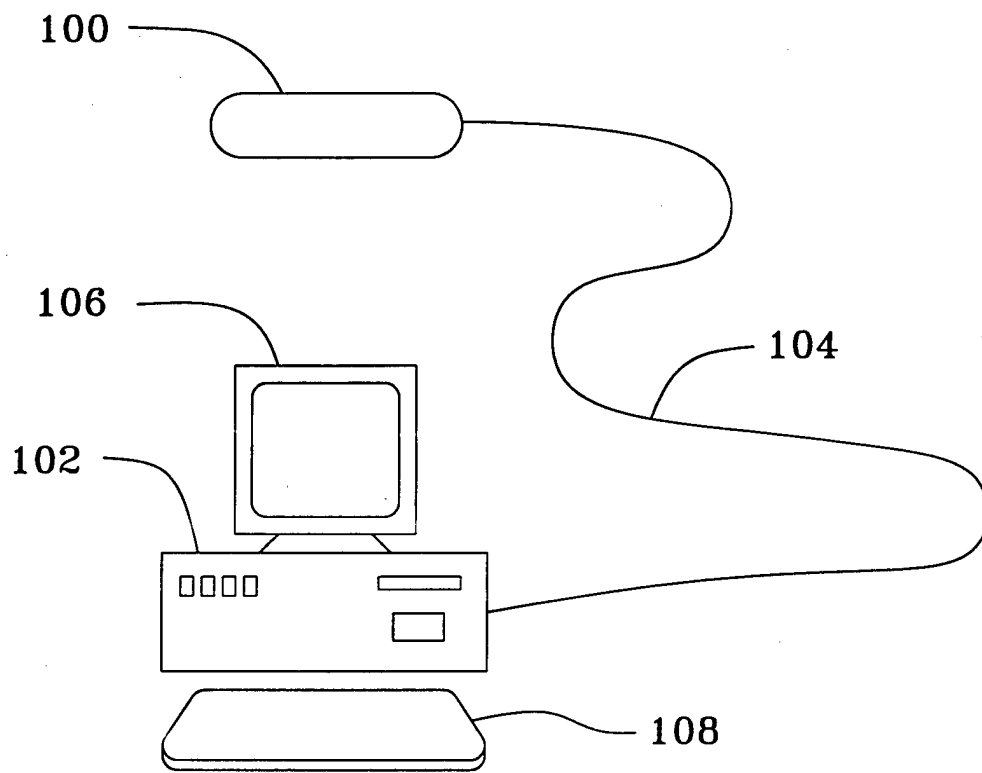


FIG. 5